IFW

& TRADE

PTO/SB/21 (09-04) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/707,079 Filing Date TRANSMITTAL November 19, 2003 **FORM** First Named Inventor Kevin Wesling Art Unit 3611 **Examiner Name** Daniel S. Yeagley (to be used for all correspondence after initial filing) Attorney Docket Number RS151

70tal Hallis	per of Pages III This Submission					
		ENCLOS	URES (Check a	all that apply	<i>)</i>	
Fee T	Fee Attached	Drawi	ng(s) sing-related Papers			After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences
Exten Expre Inform Certif Docu	After Final Affidavits/declaration(s) asion of Time Request ass Abandonment Request mation Disclosure Statement fied Copy of Priority ment(s) y to Missing Parts/ inplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53	Provising Power Change Termi Requirements CD, N	on to Convert to a sional Application of Attorney, Revocat ge of Correspondence nal Disclaimer est for Refund lumber of CD(s)	e Address .		Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please Identify below):
SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT						
Firm Name	Firm Name SRAM Corporation					
Signature	Signature Low Wunderlick					
Printed name	Printed name Lisa Wunderlich					
Date February 22, 2006		Reg.		Reg. No.	40,307	7
CERTIFICATE OF TRANSMISSION/MAILING						

I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Wunderlick Date

Lisa Wunderlich

Typed or printed name

February 22, 2006

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.



Attorney Docket No. RS151

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

WESLING et al.

Serial No. 10/707,079

Filed: November 19, 2003

For:

LOCKOUT MECHANISM FOR A

SUSPENSION SYSTEM

Group Art Unit 3611

Examiner: Daniel S. Yeagley

I hereby certify that this correspondence is being deposited with the United States Postal Service as U.S. First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on

eory 22,

Lisa Wunderlich

Typed Name

Signature

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 C.F.R. § 1.56 and 1.97(c), Applicants bring to the attention of the Examiner the document listed on the attached PTO-1449. A copy of the cited reference is enclosed. Applicants respectfully request that the Examiner consider the listed document, and evidence that consideration of relevant portions thereof by making appropriate notations on the attached form.

Pursuant to 37 C.F.R. § 1.97(e), Applicants, through the undersigned attorney of record, hereby state that no item of information contained in the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing the certification after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

U.S. Serial No. 10/707,079

It is believed that this reference either taken alone or in combination with

others does not disclose or suggest the invention claimed by the Applicants. However, it is

the Applicants' desire to have this reference available in the record for both the Examiner

and the public to see. The Applicants specifically reserve all rights of privilege and

confidence with respect to this matter and submission of this document is not to be construed

as a waiver of those rights. Moreover, submission of this document should not be considered

an admission that the reference cited herein is proper prior art to the aforementioned

application.

This submission does not represent that a search has been made or that no

better art exists and does not constitute an admission that the listed document is material or

constitute "prior art." If it should be determined that the listed document does not constitute

"prior art" under United States law, Applicants reserve the right to present to the Office the

relevant facts and law regarding the appropriate status of such document.

Applicants further reserve the right to take appropriate action to establish the

patentability of the disclosed invention over the listed document, should the document be

applied against the claims of the present application.

Respectfully submitted,

WESLING et al.

Lisa Wunderlich, Attorney Registration No. 40,307

SRAM Corporation

1333 N. Kingsbury, 4th Floor

Chicago, IL 60622

(312) 664-3652

2

Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

er the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet 1

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

of 1

Application Number	10/707,709	
Filing Date	November 19, 2003	
First Named Inventor	Kevin Wesling	
Art Unit	3611	
Examiner Name	Daniel S. Yeagley	

Attorney Docket Number RS151

Complete if Known

U. S. PATENT DOCUMENTS Publication Date Examiner Cite **Document Number** Name of Patentee or Pages, Columns, Lines, Where Initials* MM-DD-YYYY Applicant of Cited Document Relevant Passages or Relevant Figures Appear Number-Kind Code^{2 (if known)} US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-US-

FOREIGN PATENT DOCUMENTS						
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	
		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY		Or Relevant Figures Appear	Τ°
		TW 560486 Y	11-01-2003			
<u> </u>						
						Ļ
				-		L
				, , , , , , , , , , , , , , , , , , ,		

Examiner	Date	
Signature	Considered	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. ¹Applicant's unique citation designation number (optional). ²See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.